

# SARS eFiling FIA Application Guide

*A guide to applying for Tax  
Clearance to make use of  
your Foreign Investment  
Allowance*

## Disclaimer & Notes

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- This guide is specific to applying for a Tax Compliance Status pin (formerly Tax Clearance Certificate) via the SARS eFiling platform in order to make use of your **Foreign Investment Allowance** (FIA).
- If you have not used eFiling before it is recommended that you **familiarise yourself with the platform** or work with a **Tax Practitioner**.
- Please make sure you are **Tax Compliant** overall before you start your Tax Compliance Status pin for FIA application.
- This is **NOT** an official SARS-issued guide.
- This guide is **NOT** to be considered as tax advice.
- Success is **NOT** guaranteed and will vary from case to case.

## Step 1:

### Log into your eFiling profile

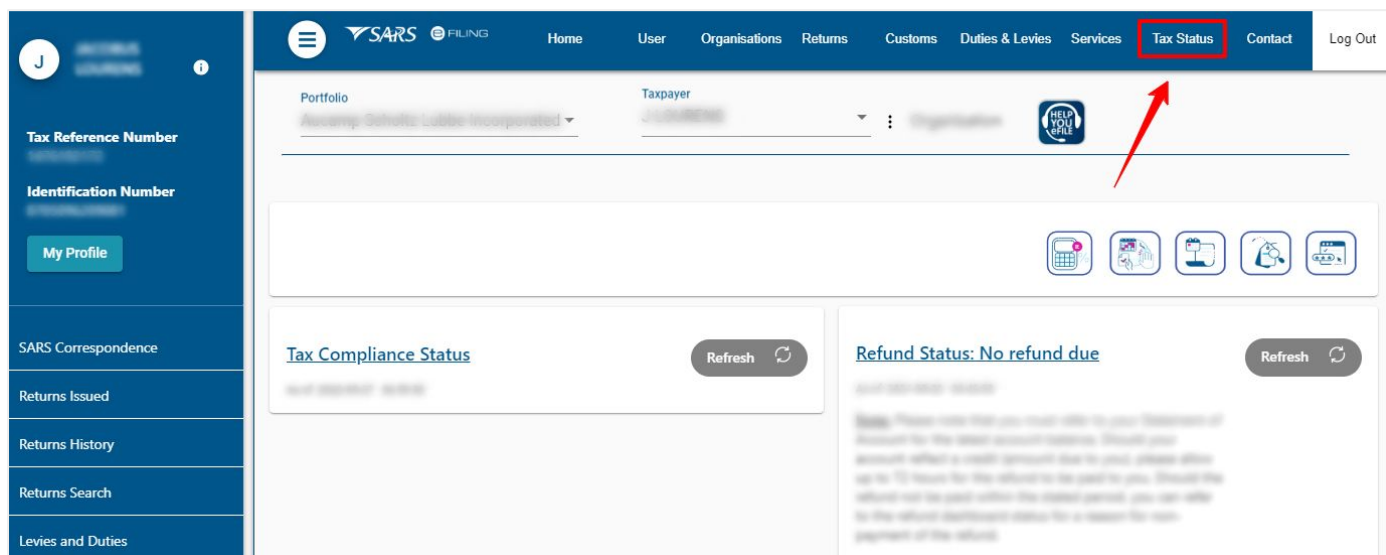
We recommend logging in on a desktop or laptop and not on a mobile device.

Login URL: <https://secure.sarsefiling.co.za/app/login>

\* If you do not have an eFiling profile you will need to register for one - <https://secure.sarsefiling.co.za/app/register>

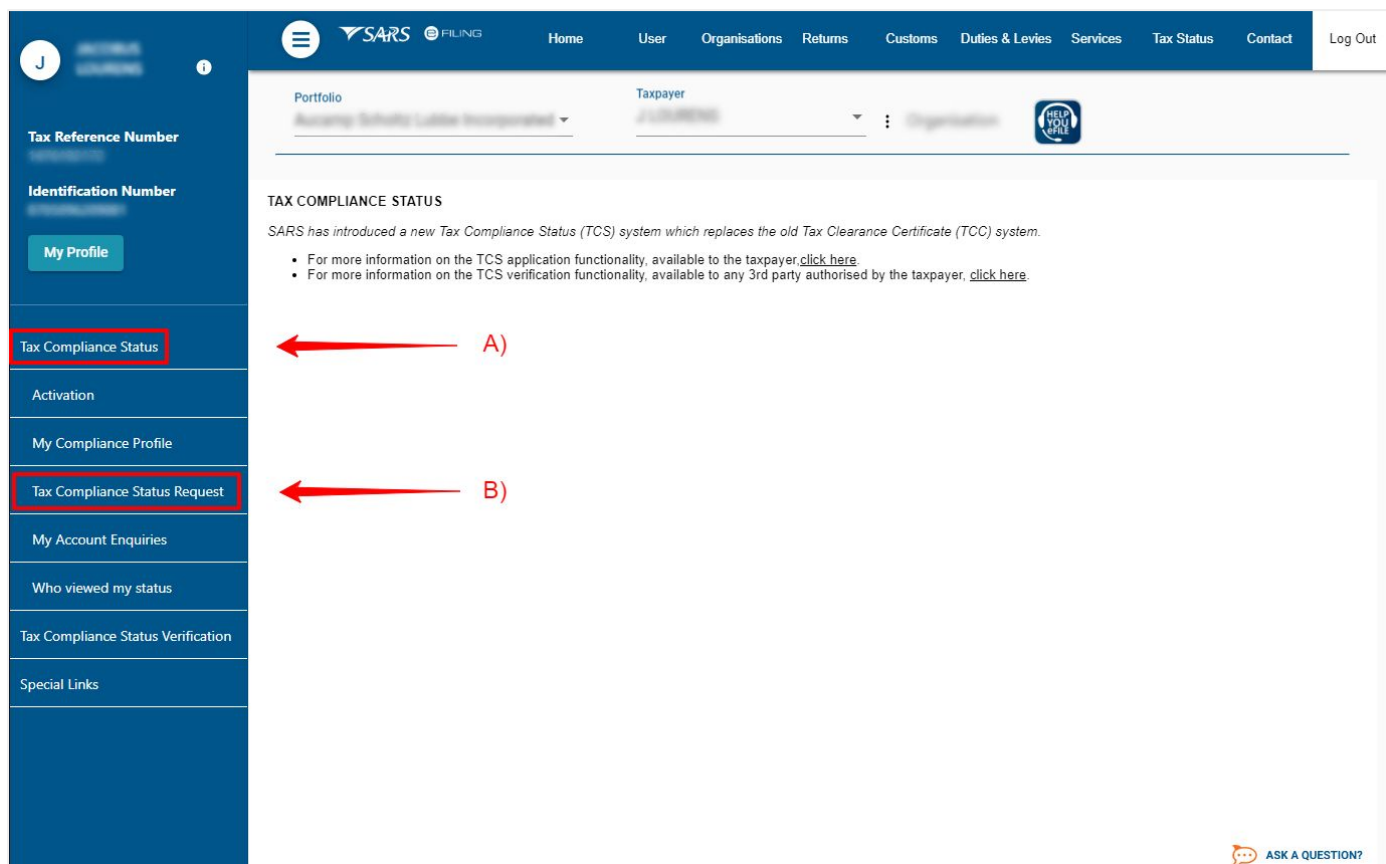
## Step 2:

Click on “**Tax Status**” in the top right corner.



## Step 3:

- A) Click on **“Tax Compliance Status”** under the menu on the left hand side.
- B) Click on **“Tax Compliance Status Request”** in the dropdown menu



## Step 4:

- A) Click on the “**TAX COMPLIANCE STATUS REQUEST**” tab.
- B) Select “**Foreign Investment Allowance**” in the “Type” dropdown menu
- C) Click the “**Request**” button

The screenshot displays the SARS eFiling Tax Compliance Status Request interface. The page is divided into several sections:

- CLIENT DETAILS:** Client Name, Trading Name, Registration Number, and Tax Reference.
- REFRESH STATUS:** Last Refreshed: 2023/10/20 07:48M.
- SEARCH RESULTS:** MY COMPLIANCE PROFILE, TAX COMPLIANCE STATUS REQUEST (highlighted with a red box and arrow A).
- TAX COMPLIANCE STATUS:** New Compliance Request, Type: Tender (dropdown menu), Request (button highlighted with a red box and arrow C).
- STATUS OF EXISTING REQUESTS:** FOREIGN INVESTMENT ALLOWANCE (dropdown menu highlighted with a red box and arrow B), Emigration.

Additional elements include a sidebar with navigation options (My Profile, Tax Compliance Status, Activation, My Compliance Profile, Tax Compliance Status Request, My Account Enquiries, Who viewed my status, Tax Compliance Status Verification, Special Links) and a top navigation bar with links (Home, User, Organisations, Returns, Customs, Duties & Levies, Services, Tax Status, Contact, Log Out). A "HELP YOU EFILE" icon is also present.



## Step 5:

You have now reached the **“Tax Compliance Status Request - Additional Information”** screen.

5.1. Under **“Taxpayer Details”**:

**A)** Add at least **one contact number. E.g. Cell No.**

**B)** **Select “N”** if the TCR01 is **not** being completed by an authorised representative (*a Tax Practitioner or other authorised party that is completing on your behalf*)

**C)** **Select “N”** for “Is this request in respect of a Partnership / Joint Venture”

**Taxpayer Details** ^

Surname / Registered Name * L. JOHNSON	Trading Name	Income Tax Ref No. 123456789
PAYE Ref No.	VAT Ref No.	Customs Code.
ID No. 876543210	Passport No.	Passport Country (e.g. South Africa = ZAF)
Company / CC / Trust Reg No.	Tax Compliance Type * FOREIGN INVESTMENT ALLOWANCE	Home Tel No. * <small>Home Tel No. is a mandatory field.</small>
Bus Tel No. * <small>Bus Tel No. is a mandatory field.</small>	Cell No. * <small>Cell No. is a mandatory field.</small>	Email

**NOTE** : You have not yet captured an email address. SARS may not be able to “Alert” you when your overall compliance status change, if you do not provide an email address on this form.

Is this request completed by an authorised representative on behalf of the taxpayer? \*  Y  N  O

Is this request in respect of a Partnership / Joint Venture?  Y  N  O

A)      B)      C)

5.2. Under “**Foreign Investment Details**”:

- **Total amount to be invested offshore:** Input the **amount you have valid Proof of Funds for** as per your supporting documents.
- **Expected annual income from this investment:** Input “**0**”.
- **Planned Investment Date:** Input **today’s date**.
- **Type of investment:** Select “**Other**” and input “**CRYPTO ARBITRAGE**”.
- **Country where investment will be made:** Select “**UNITED STATES OF AMERICA**”.
- **Source of Capital to be invested:** Select the **originating source of your capital** as per your supporting documents.

**Foreign Investment Details**

**R** Total amount to be invested off-shore \* !

**R** Expected annual income from this investment \* !

Planned Investment Date (CCYYMMDD) \* !

Total amount to be invested off-shore is a mandatory field.      Expected annual income from this investment is a mandatory field.      Planned Investment Date (CCYYMMDD) is a mand...

Type of Investment:    Cash \*     Listed Equities \*     Listed Bonds \*     Unit Trust \*     Exchange Traded Funds \*     Property \*     Insurance Products \*     Other \*

If “Other” please provide details 🔒

Country where majority investment will be made \* !

Country where majority investment will be made is a mandatory field.

Source of Capital to be invested: Loan \*     Donation \*     Inheritance \*     Sale of shares and other securities \*     Sale of Property \*     Savings / Cash \*     Transfer of Listed Securities \*     Other \*

If “Other” please provide details 🔒

5.3. Under “**Expiry Details**” input “**12**” months.

**Expiry Details** - required for the initial pin issued on approval of this tax compliance request


Indicate the number of months that the pin must be active for \*


12 



5.4. Double-check that all details entered are correct and then scroll to the top of the TCR01 form and click “**Submit form**”.

Back Save **Submit form** Print - 100 +

 **Tax Compliance Status Request Additional Information** Request Ref No. \* TCR01




5.5. Once submitted you will be shown a confirmation screen where you can click “**Continue**”.

**RESULT**

Your request has been successfully submitted.  
Please note that you may follow up on the progress of your request on the Tax Compliance Work Page.

**Continue**





## Step 6:

Once the TCR01 form has been submitted you need to wait for a letter requesting **“Supporting Documents”**. This can take up to a couple of hours.

## Step 7:

Once you receive the letter, navigate back to the **“Tax Compliance Status Request”** (as per Step 3) and click on the **“Request Reference No”** relating to your application.

The screenshot displays the SARS eFiling interface for a Tax Compliance Status Request. The top navigation bar includes links for Home, User, Organisations, Returns, Customs, Duties & Levies, Services, Tax Status, Contact, and Log Out. The main content area is titled 'TAX COMPLIANCE STATUS' and includes sections for 'CLIENT DETAILS', 'REFRESH STATUS', and 'SEARCH RESULTS'. The 'SEARCH RESULTS' section is currently active, showing 'MY COMPLIANCE PROFILE' and 'TAX COMPLIANCE STATUS REQUEST'. Under 'TAX COMPLIANCE STATUS', there is a 'New Compliance Request' section with a 'Type' dropdown set to 'Tender' and a 'Request' button. Below this is the 'STATUS OF EXISTING REQUESTS' section, which is expanded to show 'FOREIGN INVESTMENT ALLOWANCE'. A table lists existing requests with columns for Request Reference No, Trading Name, Date Requested, Request Status, Request Expiry Date, Case Number, Compliance Indicator, and Select. The first row, with Request Reference No 0029785231FS0307221561325, is highlighted with a red box and a red arrow pointing to it. The status for this request is 'Review\_in\_progress'. Other requests in the table have statuses of 'Approved' (marked as 'Compliant') or 'Declined'.

Request Reference No	Trading Name	Date Requested	Request Status	Request Expiry Date	Case Number	Compliance Indicator	Select
0029785231FS0307221561325	Not Applicable	2022/07/22	Review_in_progress	2022/07/22	421596524		<input type="checkbox"/>
	Not Applicable	2022/07/22	Approved	2022/07/22	421596524	Compliant	<input type="checkbox"/>
	Not Applicable	2022/07/22	Approved	2022/07/22	421596524	Compliant	<input type="checkbox"/>
	Not Applicable	2022/07/22	Approved	2022/07/22	421596524	Compliant	<input type="checkbox"/>
	Not Applicable	2022/07/22	Declined	2022/07/22	421596524		<input type="checkbox"/>

## Step 8:


On the “**TAX COMPLIANCE STATUS WORK PAGE**” click on “**TCR01 documents**”


<b>Taxpayer Name</b>		<b>eFiling Status</b>	Review_in_progress
<b>Trading Name</b>		<b>SARS Notifications</b>	Number of letters: 1
<b>Tax Reference No</b>	N/A		
<b>Request Ref No</b>			
<b>Request Type</b>	Foreign Investment Allowance		

REQUEST TYPE	STATUS	COMPLIANCE DESCRIPTION	DATE REQUESTED	INDICATOR
Foreign Investment Allowance	Review_in_progress			

[Back](#)

 **SARS has requested that you supply supporting documentation for your application.**

SUPPORTING DOCUMENTS	STATUS	TYPE	DATE	SIZE (Kb)	NO. OF DOCS
<b>TCR01 documents</b>	Waiting for Documentation to be Uploaded		20220704	0	0

LETTER DESCRIPTION	LETTER DATE	OPEN
TCS Request - Selected for review	20220704	<a href="#">View</a>

## Step 9:

On the “**SUPPORTING DOCUMENTS FOR TAX CLEARANCE CERTIFICATE**” page you need to upload all your supporting documents (*one-by-one in no particular order*). Be sure to name your documents accordingly. Please include:

- **Cover Letter** - An overview of your supporting documents and source of funds. Can include the total application amount.
- **Proof of Funds** - Bank statements, credit facility documents and transaction histories showing funds available to you.
- **Source of Funds** - Originating source of funds documents as per SARS guidelines here - <https://tinyurl.com/3ubs5ejm>.
- **Statement of Asset and Liabilities** for the last 3 years.

To **unlock** protected PDFs, use this tool - [https://www.ilovepdf.com/unlock\\_pdf](https://www.ilovepdf.com/unlock_pdf)

To **compress** large PDFs, use this tool - [https://www.ilovepdf.com/compress\\_pdf](https://www.ilovepdf.com/compress_pdf)

### UPLOAD SUPPORTING DOCUMENTS

Please ensure that all documents are correctly classified and successfully uploaded before submitting this group.

Document Name:  No file chosen

**Very important:**

- The following file types may be uploaded: .pdf, .doc, .docx, .xls, .xlsx, .jpg and .gif.
- The maximum allowable size of each file uploaded may not exceed 5Mb per document.
- The following files may not be uploaded as they will result in the entire group of documents being rejected:
  - o X Documents with the same name.
  - o X Password protected documents.
  - o X Spread sheets with multiple sheets.
  - o X Blank or empty documents.

## Step 10:

After uploading all your supporting documents:

- A) Check to see if all uploads were **successful**.
- B) Click “**Submit to SARS**” at the bottom of the page.

### UPLOADED DOCUMENTS

Document Name	File Size	Success	File Status	Date / Time Uploaded	Open	Remove
1_Cover_Letter.pdf	9	<input checked="" type="checkbox"/>	Converted and stored	2023/04/26 10:25:48 AM	<a href="#">View</a>	<input type="checkbox"/>
2_Assets_and_Liabilities.pdf	11	<input checked="" type="checkbox"/>	Converted and stored	2023/04/26 10:45:48 AM	<a href="#">View</a>	<input type="checkbox"/>
5_1_creditFacility_compressed.pdf	686	<input checked="" type="checkbox"/>	Converted and stored	2023/04/26 11:17:48 AM	<a href="#">View</a>	<input type="checkbox"/>
3_1_overdraft_unlocked.pdf	93	<input checked="" type="checkbox"/>	Converted and stored	2023/04/27 09:01:48 AM	<a href="#">View</a>	<input type="checkbox"/>
3_2_overdraft_unlocked.pdf	92	<input checked="" type="checkbox"/>	Converted and stored	2023/04/27 09:36:48 AM	<a href="#">View</a>	<input type="checkbox"/>
3_3_overdraft_unlocked.pdf	90	<input checked="" type="checkbox"/>	Converted and stored	2023/04/27 09:38:48 AM	<a href="#">View</a>	<input type="checkbox"/>
4_1_creditCard_unlocked.pdf	70	<input checked="" type="checkbox"/>	Converted and stored	2023/04/27 11:14:48 AM	<a href="#">View</a>	<input type="checkbox"/>
6_1_cash_unlocked.pdf	70	<input checked="" type="checkbox"/>	Converted and stored	2023/04/27 12:17:48 AM	<a href="#">View</a>	<input type="checkbox"/>
6_2_cash_unlocked.pdf	71	<input checked="" type="checkbox"/>	Converted and stored	2023/04/27 12:45:48 AM	<a href="#">View</a>	<input type="checkbox"/>
6_3_cash_unlocked.pdf	8	<input checked="" type="checkbox"/>	Converted and stored	2023/04/27 12:58:48 AM	<a href="#">View</a>	<input type="checkbox"/>
6_4_cash_unlocked.pdf	71	<input checked="" type="checkbox"/>	Converted and stored	2023/04/27 12:59:48 AM	<a href="#">View</a>	<input type="checkbox"/>

### DOCUMENT GROUP

Please provide a group name for all the documents that you have uploaded above.

Document group name

Status

## Important:

- This process can be **repeated until your R10mil FIA allowance has been depleted** for the calendar year.
- **New statements and transaction histories** with new dates are required for every new application.
- It is best to **include trading statements** of trades that used your previous FIA in your supporting documents for new applications.
- It is best to **apply for a different amount** to be invested offshore with every new FIA application.

## Koinexpert FIA Application Tool:

Koinexpert's FIA tool collects relevant documentation and allows for TCS application submission to SARS, **for FREE** if certain conditions are met.

More info here - <https://www.koinexpert.com/fia>